Environmental Generalist and Cultural Resource A&E Contract Outreach District 5

June 21,2018

9:00 am-11:00 am

District 6 - Manchester Office

2015 E. Shields Ave, Suite 100

Fresno, CA 93726



Agenda

9:00 AM

Welcome & Purpose of the Outreach

9:05 AM

Roundtable Introductions/Small Business

9:25 AM

Contract Overview

9:45 AM

Networking

10:00 AM

Question and Answer Session

11:00 AM

Adjourn

Purpose of this Outreach



- Networking & Partnering Opportunity
- Information Sharing
- Question and Answer Session



Roundtable Introduction

- Participant Name (Plan to be a Prime or Sub)
- Firm/Affiliations/Certifications
- Goal for Attending this Event (for Non-Caltrans Participants)
- Role/Title if You are Participating for Caltrans



Office of Business & Economic Opportunity (OBEO)

- Amanda Himes, Small Business Liaison, District 6
- DBE Participation Goal for each contract
- Voluntary Use of Small Businesses
- Cultural Contract Goal 10% and Generalist Contract Goal is 10%



General Information Cultural



Caltrans

- Location: Cultural Resources in District 5
- Scope: The Consultant shall perform consultation, research, professional and technical services required for Cultural Resource Services
- Cost: Contract estimated value is \$2,225,000-3,750,000
- Duration: Contract term is 5 years; 3 years to write Task
 Orders and 2 years to finish work
- Existing Contract o6A2121
- Consultant is Applied EarthWorks, Inc.
- Work Performed: (Examples) Phase I,II and III Studies,
 Native American Monitoring and Geo Arch Studies

Contract Services: Introduction

Phil Vallejo, Senior Environmental Planner- Caltrans District 6

- Caltrans staff Archaeologists and Architectural Historians do the day-to-day Section 106 and CEQA historical resource compliance for Caltrans undertakings/projects.
- This includes doing records searches, pedestrian surveys, completing of 523 forms, developing historic and prehistoric contexts, and preparing documents for consulting party review on a regular basis.
- For more complicated and specialized tasks and projects, requiring extended fieldwork, archaeometric analyses of ecofacts and artifacts, archaeogeology, specialized equipment, laboratory space, etc., Caltrans must rely on our consultants.



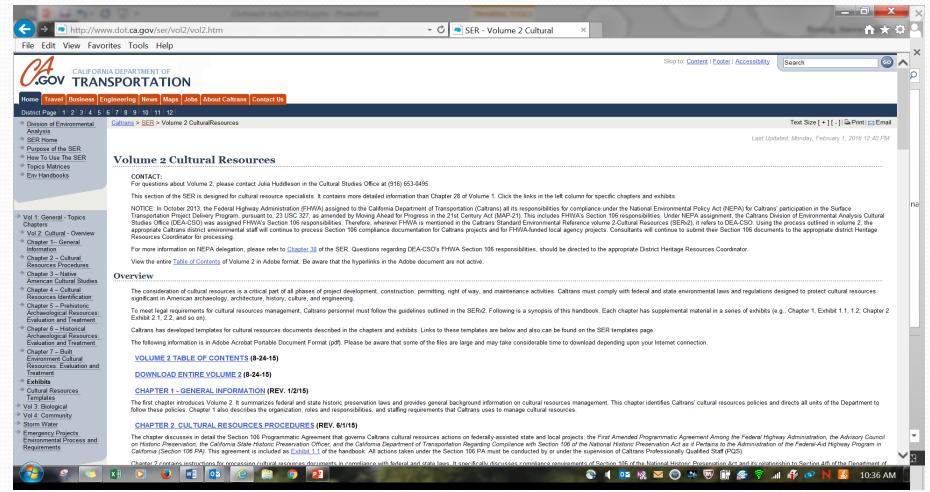
Scope of Services

- Types of endeavors include but are not limited to
 - cultural resource identification (historic and prehistoric)
 - cultural resource recordation
 - cultural resource evaluation
 - History and Ethnography of Native Californians and other Ethnic-groups
 - ecofactual and artifactual analysis
 - report writing and production
 - mitigation of adverse effects and substantial adverse changes
 - traffic control- prevailing wage will apply

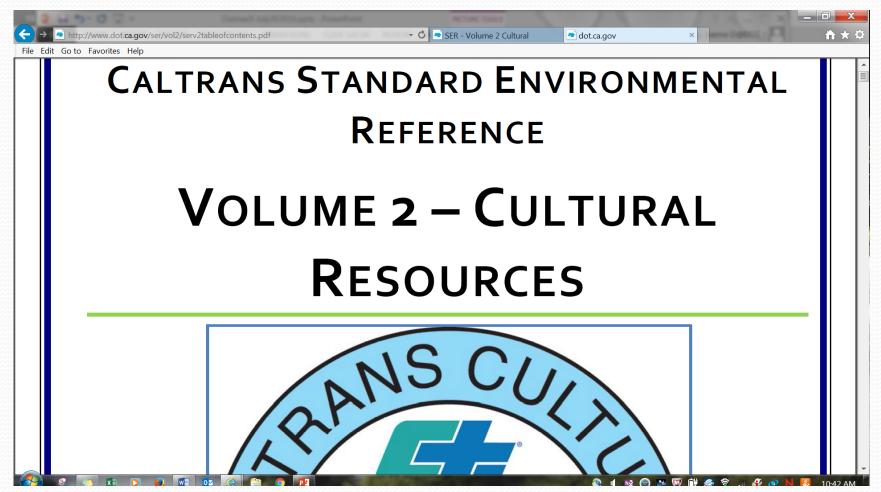




Standard Environmental Reference

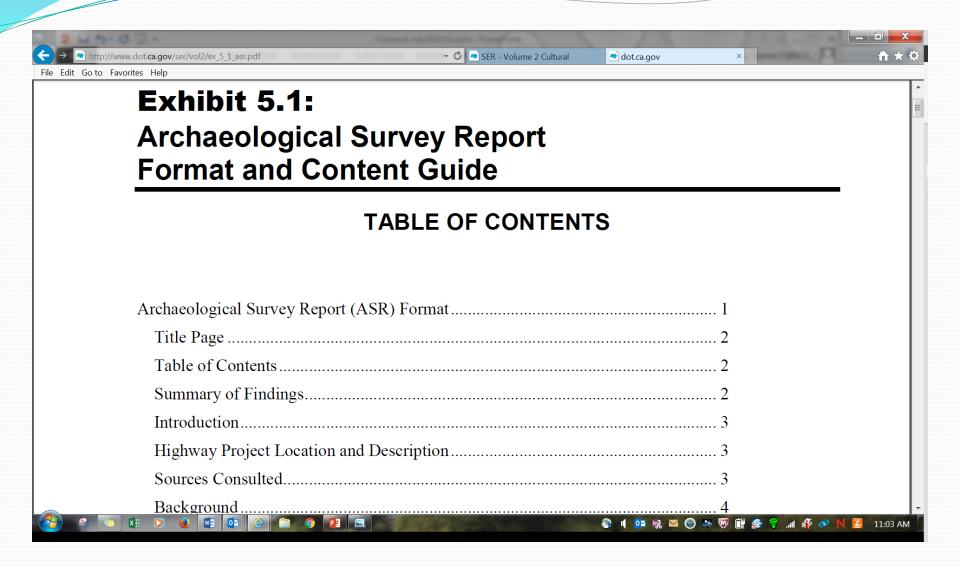


Environmental Handbook Vol. 2



Documents: Exhibits with Content and Format Guides

- Exhibit 2.5 Screened Undertaking Memo Format and Content Guide
- Exhibit 2.6 Historic Property Survey Report (HPSR) Format and Content Guide
- Exhibit 2.7 Environmentally Sensitive Area Action Plan (ESA) Format and Content Guide
- Exhibit 2.8 Finding of No Adverse Effect (FNAE) Format and Content Guide (includes Standard Conditions)
- Exhibit 2.9 Finding of Adverse Effect (FAE) Format and Content Guide
- Exhibit 2.10 Guidelines for (MOA) Memoranda of Agreements
- Exhibit 2.11 Required Copies and Required Reviews of Cultural Resources Documents
- Exhibit 2.12 Annotated Memos and Letters
- Exhibit 2.13 Peer Review Guidelines for Cultural Resources Documents
- Exhibit 2.14 Historical Resources Compliance Report (HRCR) Format and Content Guide



ASR Guide Sample

Summary of Findings

- Provide an abstract of the intent, methods, scope, and results of the survey. Describe briefly:
 - Proposed undertaking
 - Purpose and scope of the survey
 - Any constraints to the survey effort
 - Number and types of identified archaeological resources and their relation to the proposed project's limits (i.e., within or adjacent)

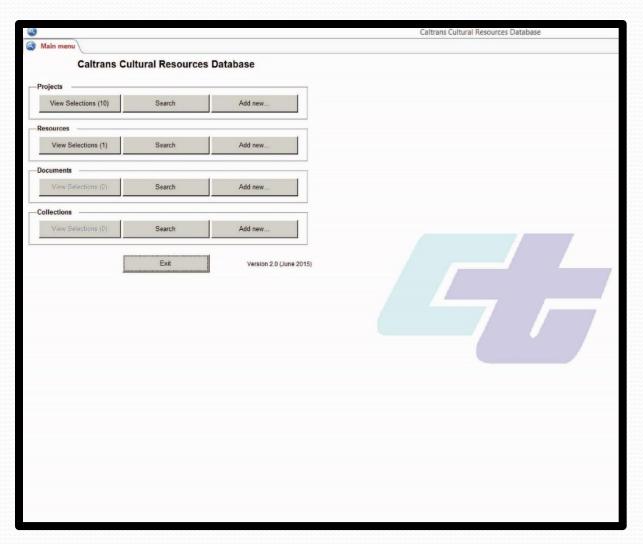
Native American Monitoring

- MLDs are not paid for being MLD's.
- Usually anytime there is ground disturbance on a Native American site, a monitor present.
- Monitors are also sometimes needed to monitor during construction.
- In most cases, the consultant will be responsible for compensating Native American monitors.





California Cultural Resources Database



Principal Investigator— Prehistoric Archaeology

Fully qualified under the Secretary of the Interior's standard for prehistoric archaeology to conduct all types of studies, including Extended Phase I, Phase II, and Phase III excavations, involving prehistoric archaeological resources and traditional cultural properties or cultural landscapes of a prehistoric or ethnographic nature. May author proposals, reports for Extended Phase I, II, and III studies, and other compliance documents, with peer review to ensure document quality. May conduct consultant oversight and contract management.

Minimum qualifications:

Graduate degree in anthropology, archaeology, or cultural resources management with an emphasis in prehistoric archaeology, as evidenced by appropriate coursework *and* at least 16 months of professional archaeological experience involving prehistoric sites, including a minimum of one year of field experience, as follows: 1) at least 24 weeks of fieldwork under the supervision of a Prehistoric Archaeology PI, of which at least 12 weeks must be excavation work; 2) at least eight weeks of laboratory experience on collections from California or Great Basin sites supervised by a Prehistoric Archaeology PI; and 3) at least 20 weeks of field work in a supervisory capacity, of which at least eight weeks must be on California or Great Basin sites *and* Demonstrated ability to carry out archaeological research to completion, as evidenced by the completion of a thesis, dissertation, or other comparable major study focusing on a prehistoric site or sites *and* Ability to carry out the more complex and difficult aspects of the Section 106 process *and* Understanding of Caltrans cultural resources policies, procedures and goals, as demonstrated in reports and/or past performance *and* Familiarity with Caltrans cultural resources contracting policies and procedures.

Access to Archaeometry Services

- Radiocarbon Dating
- Macrobotanical Identification
- Palynology
- Starch Grain Analysis
- Phytolith Analysis
- Residue Analysis
- Fish Remains Identification
- Lead, Strontium, Oxygen Isotope Analysis

- Rock Sourcing (XRF, NAA, etc.)
- Obsidian Hydration
- Arial Lidar
- Mollusk Identification
- Specialized Curation Expertise
- Specialized Historic Artifact Expertise
- Geoarchaeology/ Geomorphology
- Human Osteology

General Information Generalist

- Location: Environmental Generalist various in District 05
- Scope: The Consultant shall perform consultation, research, professional and technical services required for Environmental Generalist Services
- Cost: Contract estimated value is \$5.3 \$8.7 million
- Duration: Contract term is 5 years; 3 years to write Task Orders and
 2 years to finish work
- Existing Contract o6A2106
- Current Consultant is ICF Jones & Stokes, Inc.
- Work Performed: (Examples) Biological Surveys, Paleontology, NES, BA, CIA, IS/EA, Staff Assistance.

Scope of Services

Geramaldi Geramaldi, Associate Environmental Planner

- Types of endeavors include but are not limited to
 - Air Quality Studies, project-level and Conformity Analysis including CO, PM, and other HOT SPOT studies, Health Risk Assessments
 - Paleontological Evaluation Report (PER), Paleontological Identification Report (PIR), Paleontological Mitigation Plan (PMP), Paleontological Mitigation Report (PMR), Paleontological Stewardship Summary (PSS)
 - Water Quality Studies, prepare Storm Water Data Reports (SWDR) and prepare permit applications State Water Resources Control Board/Regional Water Quality Control Board (SWRCB/RWQCBs)
 - Visual Impact Assessment/Visual Impact Analysis/Visual Impact Studies
 - Report writing and production of EIR/EIS, Initial Study/Environmental Assessment, and CE/CE
 - Mitigation of adverse effects and substantial adverse changes



Scope of Services cont.

Generalist Studies include but are not limited to:

- Preliminary Environmental Assessment Report (PEAR)
- Notice of Preparation (NOP) and Notice of Intent (NOI)
- Categorical Exemptions/Categorical Exclusions
- Initial Study/Negative Declaration/Mitigated Negative Declaration
- Environmental Assessments/Findings of No Significant Impacts
- Environmental Impact Reports/Environmental Impact Statements
- Notice of Determination
- Record of Decision
- Section 4(f) Evaluations
- Community Impact Analysis/Community Impact Assessments
- Cumulative Impact Analysis
- Climate Change Analysis
- Land Use and Growth Studies
- Public Outreach Activities (incidental)

Scope of Services cont.

Biologist Studies included but are not limited to

- Conducting species surveys and wetland delineations
- Biologists permitted to handle/relocate steelhead and CA Tiger Salamander
- Ability to perform hydroacoustic monitoring
- Writing Natural Environmental Study and Biological Assessment use of the Annotated Outline in the SER
- Conducting consultation with USFWS for issuance of Letter of Concurrence or Biological Opinion.
- Preparing permit applications for 1600 (CDFW), 404 (USACE), 401 (RWQCB)
- Applying for incidental take permit (2081) from California Department of Fish and Wildlife
- Preparing contract special provisions for construction
- Conduct preconstruction surveys and Environmental Awareness trainings for construction crews
- Prepare mitigation Estimates and conducting PAR analysis
- Mitigation of adverse effects and substantial adverse changes
- Environmental Compliance with issued permits

Excluded work: Cultural and Hazardous Waste Studies



Contract Services: Introduction Environmental Planners

- The Environmental Planner Series is an interdisciplinary team member who participates in transportation systems planning and project development
- Prepares environmental analysis of proposed projects and modal and design alternatives
- Identifies Environmental Impacts of Transportation Projects
- Formulates measures to mitigate impacts
- Prepares, reviews and processes environmental clearance documents
- Reviews Environmental Documents of local, State and Federal Agencies
- Prepares inventories and analyses of existing and future environmental conditions.



Environmental Planners: Natural Sciences (Biologist)

- Caltrans staff assistance biologist conduct environmental analysis of proposed project as they relate to relevant Environmental regulations and determining impacts biological resources.
- Prepares and peer reviews biological reports on all types of projects including those with large complex or controversial impacts.
- Prepares and reviews permit applications for Streambed Alteration Agreements, Water Quality Certifications and Clean Water Act Permits.
- Serves as the lead Caltrans representative when coordinating with local State and Federal agencies.
- Reviews plans, specification and special provisions to ensure that environmental commitments will be adhered to during construction.
- Surveys for special status species during initial studies and preconstruction and monitor during construction activities.



Environmental Generalist Personnel Requirements

• The Consultant's personnel shall be capable, competent, and experienced in performing the types of work in this Contract with minimal instruction. Personnel skill level should match the specific job classifications... and task complexity. The Consultant's personnel shall be knowledgeable about, and comply with, all applicable Federal, State, and Local laws and regulations.

Environmental Generalist

 Bachelor Degree in Environmental, Urban Regional Planning or related field and shall have a documented minimum three (3) years of demonstrated experience acceptable to Caltrans, equivalent to a Caltrans Associate Environmental Planner.

Biology

• Bachelor Degree in Natural Sciences field and shall have a documented minimum three (3) years of demonstrated experience acceptable to Caltrans in: (1) biological impact analysis of transportation projects including impacts to species of concern and their habitats, wetlands, or waters of the United State (U.S.); or (2) biological development and monitoring.

Air

 The Consultant's personnel who conduct Air Quality Studies shall be knowledgeable and capable of performing Air Quality Studies, including use of common analysis models and methods such as the EMFAC 2007 and CALINE4 models (or most recent models approved), Caltrans' and FHWA's CO Protocol and PM10 and PM 2.5, Qualitative Analysis and Quantitative Guidance, and the Local CEQA analysis guidelines.

Noise and Vibration

• Expertise in acoustic and vibration modeling field investigations to transportation noise is required. Some staff development work and research may be required with this Contract. A documented minimum five (5) years of demonstrated experience acceptable to Caltrans analyzing complex transportation noise and vibration is required.

Water

• A Degree (Bachelors, Masters, or higher) in Water Quality, Hydrology, or related Environmental Engineering field from an ABET accredited institution (College or University) or possession of a valid Engineer-in-Training (EIT) certificate issued or accepted by the California State Board for Professional Engineers, Land Surveyors, and Geologists.

Paleontological

• The Consultant shall provide a professional Geologist licensed in the State of California in good standing with the California State Board for Professional Engineers, Land Surveyors, and Geologists at all times during the Contract period for Paleontology work conducted under the contract. The licensed Geologist must also be a qualified paleontologist with a paleontological resource Excavation Permit for the collection of vertebrate fossils issued by the Bureau of Land Management. If a licensed professional Geologist is not available as stated above, the Consultant must provide, in addition to a professional licensed Geologist, a qualified Paleontologist with a paleontological resource excavation permit for the collection of vertebrate fossils issued by the Bureau of Land Management. The professional Paleontologist shall work under the direction of the professional Geologist licensed in the State of California in good standing with the California State Board for Professional Engineers, Land Surveyors, and Geologists at all times during the Contract period.

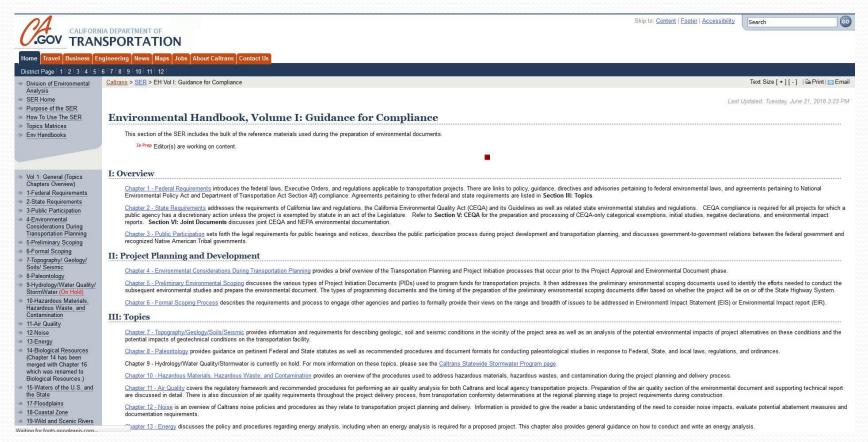
Visual

• A Degree (Bachelors, Masters, or higher) in Landscape Architecture from an LAAB accredited institution (College or University). Registered Landscape Architect licensed in the State of California in good standing with the California Architects Board Landscape Architects Technical Committee at all times during the Contract period.

Staff Assistance

• In this Contract is a list of proposed staff assistance personnel classifications for which services are anticipated to be needed by Caltrans during the term of this Contract; however, this list is not exclusive so long as the classification perform Environmental Generalist Services. Caltrans reserves the right to add or delete personnel classifications from this list. Consultant personnel will work under the general direction of a Caltrans Senior.

Standard Environmental Reference



Environmental Handbook Vol. 3

CALTRANS

ENVIRONMENTALHANDBOOK VOLUME 3

BIOLOGICALRESOURCES

Chapter 1: General Information

Chapter 2: Natural Environment Study

Chapter 3: Wetlands

Chapter 4: Federal and State Endangered Species Act Procedures

Chapter 5: Mitigation and Monitoring





Survey Protocols

Survey Protocol included but are not limited to:

- USFWS Survey Guidelines for the listed Large Branchiopods
- USFWS Survey Protocol for Determining Presence of San Joaquin Kangaroo Rats
- CDFW Approved Methodology for the Blunt-Nosed Leopard Lizard
- Recommended Timing and Methodology for Swainson's Hawk Nesting Surveys in California's Central Valley
- CDFW Approved Survey Methodologies for Sensitives Species, SJKF
- USFWS San Joaquin Kit Fox Survey Protocol for the Northern Range
- CDFW Protocol for Surveying and Evaluating Impacts to Special Status Native Plant Populations and Natural Communities

Tentative Schedules D5 Cultural/Generalist

Advertisement	August 6, 2018 : August 20,2018
SOQ Due	Week of September 3 ^{rd:} w/o September 17th
Interview	Week of September 17 ^{th:} w/o October 1st
Cost Negotiation	Week of October 8th: w/o October 15th
Award & Execution	Week of October 15 ^{th:} w/o October 22nd



DPAC Website

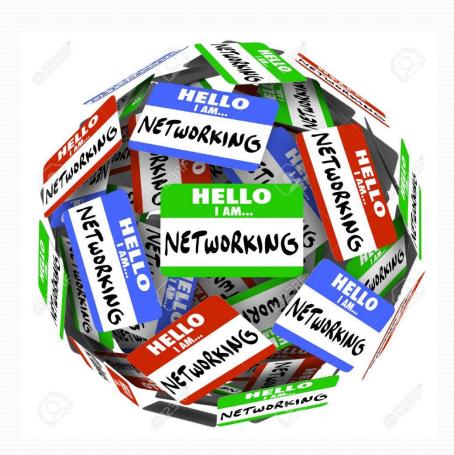
For information and procedures on Caltrans A&E contracts, visit the Division of Procurements and Contracts (DPAC) website:

http://www.dot.ca.gov/hq/dpac/aeinfo.htm

http://www.dot.ca.gov/hq/dpac/ae/doc/lookahead.pdf



Your Time to "Meet and Greet"



Anup Singh DPAC Coordinator Discussing: DPAC Updates

Question and Answer Session



Outreach information including questions and answers will be posted on DPAC A&E website. http://www.dot.ca.gov/hq/dpac/aeinfo.htm



Thank you for attending and your interest in working with

